ACCA Audit Firms – Audit Register Information (UK)

ACCA

This form must be completed by each firm which is registered as auditors by ACCA in the UK. Please return your completed form to: Authorisation, ACCA, 2 Central Quay, 89 Hydepark Street, Glasgow G3 8BW.

The purpose of this form is to enable ACCA to obtain additional information about your firm for the UK Register of Statutory Auditors. Failure to return the form may lead to incorrect information being published on the register.

AR1 FIRM'S DETAILS

Name of firm _____

Firm's reference number (if known/applicable) ☐☐☐☐☐☐☐

AR2 OTHER AUDIT REGISTRATIONS

Does your firm hold audit registration with any EEA – this is any country that is an EU member state and Iceland, Lichtenstein, Norway and Gibraltar – competent authority or non-EEA authority? ☐ Yes ☐ No

If Yes, please provide:

Name of authority _____

Registration number with authority _____

If your firm is registered with more than one EEA competent authority or non-EEA authority, please provide the above information on a separate sheet.

Do any of the partners/directors/responsible individuals in your firm hold audit registration with any EEA competent authority or non-EEA authority? ☐ Yes ☐ No

If Yes, please provide:

Name _____

Membership number (if known/applicable) ☐☐☐☐☐☐☐

Name of authority _____

Registration number with authority _____

Please provide the above information for each partner/director/responsible individual on a separate sheet, as appropriate.

AR3 MANAGEMENT BOARD

Does your firm have a management board? ☐ Yes ☐ No

If yes, please provide the names and qualifications of the members of the board (continue on a separate sheet if necessary)

_____

_____

_____

AR4 NETWORKS

Is your firm a member of a network? ☐ Yes ☐ No

If Yes, please provide:

Name of the network

Names and addresses of the other members of the network (continue on a separate sheet if necessary)

Names and addresses of the affiliates of those members. Alternatively, please provide the website address or the address of any other place where this information is available to the public (continue on a separate sheet if necessary)

AR5 SHAREHOLDER DETAILS

Limited companies only

☐ I enclose an up-to-date list of shareholders showing name(s), qualification(s) and number of shares held

(NB If your firm has incorporated and you have not notified ACCA you must submit an incorporation notification form, which can be downloaded from ACCA's website at www.accaglobal.com/members/professional_standards

AR6 CONFIRMATION

On behalf of my firm I confirm that the information given in this form is true, accurate and complete to the best of my knowledge and belief after making all reasonable enquiries. I understand that a false declaration on this form may lead to disciplinary action being taken against me and/or my firm. I further confirm that neither I nor the firm nor any of its partners/directors/responsible individuals have been subject to any criminal, disciplinary, regulatory or any other matters within the terms of bye-law 8 (liability to disciplinary action) that may call into doubt my firm's eligibility to hold an auditing certificate, which have not already been brought to the attention of Governance – Professional Conduct at ACCA. I understand that the UK Rehabilitation of Offenders Act 1974 does not apply to the accountancy profession, and that I am therefore required to disclose spent convictions. I am aware of, and will abide by, my continuing obligation to draw any such matters to ACCA's attention.

Contact partner's/director's signature Date

FOR OFFICE USE ONLY

Processed by Date