ACCA Audit Firms – Audit Client Information (UK) 2010

This form must be completed by each firm which is registered as auditors by ACCA in respect of all UK audits. Please return your completed form to Professional Standards, ACCA, 2 Central Quay, 89 Hydepark Street, Glasgow G3 8BW.

Additional copies of this form can be downloaded from ACCA's website (www.accaglobal.com/members/professional_standards) or requested from professional standards (tel 0141 (or 44 141) 534 4175).

The purpose of this form is to enable ACCA to:
- collate information on audits to aid in its allocation of monitoring visits
- meet its obligations as a Recognised Supervisory Body
- provide information on audit of entities within the scope of the Audit Inspection Unit of the Professional Oversight Board (POB).

AI1 FIRM'S DETAILS

Name of firm

Firm's reference number (if known/applicable) | | | | | | | |

AI2 CLIENT INFORMATION

Total number of UK audit clients

Number of UK public interest audit clients

AI3 AUDITS (Please list below all UK audit appointments. Please continue on a separate sheet if necessary)

Name of client	Type*	Business sector	Turnover (£)

* Please use the key overleaf to identify entities which fall under the following categories

AI3 AUDITS (continued)

Name of client	Type*	Business sector	Turnover (£)

KEY

N – Non-public interest entity

P – Public interest entity. This includes listed entities including those on AIM and Plus markets, pension schemes, charities, financial institutions including banks and insurance companies, and large unquoted companies and groups

For a public interest entity, please indicate if it falls into any of the categories listed below.

P1 – All UK incorporated companies with listed equity and/or listed debt

P2 – AIM or Plus-quoted companies incorporated in the UK with a market capitalisation in excess of £50 million

P3 – Unquoted companies, groups of companies, limited liability partnerships or industrial and provident societies in the UK which have either:
- a Group turnover in excess of £500 million; or
- b Group long-term debt in excess of £250million and turnover in excess of £100million

P4 – Unquoted companies or groups which are subsidiaries of foreign parent companies where the turnover of the UK group or company is in excess of £1,000 million

P5 – Private sector pension schemes with either more than £1,000 million of assets or more than 20,000 members

P6 – Charities with income exceeding £100 million

P7 – Friendly Societies with total net assets in excess of £1,000 million

P8 – Building Societies with assets exceeding £1,000 million

P9 – UK Open-Ended Investment Companies and UK Unit Trusts managed by a fund manager with more than £1,000 million of UK funds under management

P10 – Mutual Life Offices whose 'With-Profits' fund exceeds £1,000 million

AI4 AUDITOR'S RESIGNATION STATEMENTS

Has the firm resigned as auditor to any statutory clients (companies or LLPs) before the end of its normal term of office?

☐ Yes ☐ No

If the answer is Yes and you have not notified ACCA that you have ceased to hold office, together with the reasons, then you should do this immediately. In general, notifications to ACCA are required within 14 days of the date on which the auditors statement of circumstances has been filed at the client's registered office, a copy of which should be provided with the notification to ACCA. This is a requirement of s522 of the Companies Act 2006 and non-compliance is a criminal offence.

Notifications should be sent to Regulation Directorate, 29 Lincoln's Inn Fields, London WC2A 3EE, or by email to auditorchange@accaglobal.com

AI5 CONFIRMATION

Before signing this form, please refer to the guidance on the enclosed conditions

On behalf of my firm I confirm that the information given in this form is true, accurate and complete to the best of my knowledge and belief after making all reasonable enquiries. I understand that a false declaration on this form may lead to disciplinary action being taken against me and/or my firm. I further confirm that neither I nor the firm nor any of its partners/directors/responsible individuals have been subject to any criminal, disciplinary, regulatory or any other matters within the terms of bye-law 8 (liability to disciplinary action) that may call into doubt my firm's eligibility to hold an auditing certificate, which have not already been brought to the attention of ACCA's professional conduct directorate. I am aware of, and will abide by, my continuing obligation to draw any such matters to ACCA's attention.

Contact partner's/director's signature _____ Date _____

FOR OFFICE USE ONLY

Processed by _____ Date _____