

## ACCA Audit Firms – Audit Register Information (UK) – 2023

This form must be completed by each firm which is registered as auditors by ACCA in the UK. Please return your completed form to [authorisation@accaglobal.com](mailto:authorisation@accaglobal.com). Alternatively, you may submit this information online when submitting your renewal.

The purpose of this form is to enable ACCA to obtain additional information about your firm for the UK Register of Statutory Auditors. Failure to return the form may lead to incorrect information being published on the register.

### Data protection

We may use the personal data provided on this form for the purposes of:

- Firm administration
- regulation of the firm, and to contact nominated individuals
- responding to enquiries and investigating complaints
- complying with regulatory obligations.

We may share information with our suppliers and our auditors, and relevant enforcement authorities where authorised by law, in addition to the relevant Register of Statutory Auditors. Please note that for individuals based outside of the UK your information will be held in ACCA's main information systems which are located in the UK and EU and may be accessed by ACCA's local office in your country of residence. ACCA processes information within the UK and EU, but may also transfer data outside of the UK and EU as part of its operations and service delivery.

For more information on how your personal information and rights are respected, please see our [privacy notice](#), or contact [privacy@accaglobal.com](mailto:privacy@accaglobal.com)

### ARI1 FIRM'S DETAILS

Name of firm

Firm's ACCA reference number (if known/applicable)

### ARI2 OTHER AUDIT REGISTRATIONS

Does your firm hold audit registration with any EEA – this is any country that is an EU member state and Iceland, Lichtenstein, Norway and Gibraltar – competent authority or non-EEA authority? Yes      No

If Yes, please provide:

Name of authority

Registration number with authority

If your firm is registered with more than one EEA competent authority or non-EEA authority, please provide the above information on a separate sheet.

Do any of the partners/directors/responsible individuals in your firm hold audit registration with any EEA competent authority or non-EEA authority? Yes      No

If Yes, please provide:

Name

Membership number (if known/applicable)

Name of authority

Registration number with authority

Please provide the above information for each partner/director/responsible individual on a separate sheet, as appropriate.

### ARI3 MANAGEMENT BOARD

Does your firm have a management board? Yes      No

If yes, please provide the names and qualifications of the members of the board (continue on a separate sheet if necessary)

### ARI4 NETWORKS

Is your firm a member of a network? Yes No

ACCA's definition of a network is contained in page 294 of the Code of Ethics and Conduct, available on our website [here](#).

If Yes, please provide:

Name of the network

Names and addresses of the other members of the network (continue on a separate sheet if necessary)

Names and addresses of the affiliates of those members. Alternatively, please provide the website address or the address of any other place where this information is available to the public (continue on a separate sheet if necessary)

### ARI5 SHAREHOLDER DETAILS

#### **Incorporated firms only**

I enclose an up-to-date list of shareholders showing name(s), qualification(s) and number of shares held

If your firm is a LLP, please provide the voting rights of the partners.

(NB If your firm has incorporated and you have not notified ACCA you must submit an incorporation notification form, which can be downloaded from the [Practitioner forms](#) page of the ACCA website.

## ARI6 CONFIRMATION

On behalf of my firm I confirm that the information given in this form is true, accurate and complete to the best of my knowledge and belief after making all reasonable enquiries. I understand that a false declaration on this form may lead to disciplinary action being taken against me and/or my firm. I further confirm that neither I nor the firm nor any of its partners/directors/responsible individuals have been subject to any criminal, disciplinary, regulatory or any other matters within the terms of [bye-law 8](#) (liability to disciplinary action) or [GPR 8](#) (fit and proper persons) that may call into question my firm's eligibility to hold an auditing certificate, which have not already been brought to the attention of ACCA's Assessment and Investigations Departments. I am aware of, and will abide by the notification requirements set out in [GPR 12](#) and my continuing obligations to promptly notify ACCA of any matters which may make me or the firm liable to disciplinary action. I understand that I am required to disclose any unspent convictions and/or cautions that are not protected as defined by the Rehabilitation of Offenders Act 1974 (Exceptions) Order 1975 (as amended in 2013).

Contact partner's/director's signature

Date

(Signature may be typed)