



Think Ahead

News release

13 August 2020

Disciplinary Committee ordered Member severely reprimanded*

On 06 August 2020, the Disciplinary Committee of ACCA (the Association of Chartered Certified Accountants) found proved the following allegations against Mr Neville David Ward, of St Albans, Hertfordshire, United Kingdom:

Allegation 1

Contrary to paragraph 14(3) of the Global Practising Regulations (as applicable in 2019), between 08 May 2019 and 07 September 2019 Mr Neville David Ward failed to co-operate with ACCA in its monitoring and enforcement of compliance with these regulations in that he:

- (i) Failed to provide confirmation that he had put written ISQC 1 procedures in place;
- (ii) Failed to update his firm's website to include its company registration details.

Allegation 2

Contrary to Paragraph 3(1) of the Complaints and Disciplinary Regulations 2014, Mr Neville David Ward failed to co-operate with the investigation of a complaint in that he failed to provide any or all of the information requested by an Investigation Officer in correspondence dated:

- (i) 06 August 2019
- (ii) 28 August 2019
- (iii) 16 September 2019

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Allegation 3

By reason of his conduct in respect of any or all the matters set out at 1 and 2 above, Mr Neville David Ward is:

- i) Guilty of misconduct pursuant to byelaw 8(a)(i); or
- ii) Liable to disciplinary action pursuant to byelaw 8 (a) (iii).

The Disciplinary Committee ordered that Mr Ward be severely reprimanded and to pay costs to ACCA in the sum of £3000.00.

Please note that this may be the subject of an appeal.

ACCA's regulations require ACCA to publish the Committee's findings and orders by way of a news release, as soon as practicable.

** An order made by the Disciplinary Committee shall take effect from the date of expiry of the Appeal Period referred to in the Appeal Regulations unless the Committee directs that the order should have immediate effect*

- ends -

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About ACCA

ACCA (the Association of Chartered Certified Accountants) is the global body for professional accountants, offering business-relevant, first-choice qualifications to people of application, ability and ambition around the world who seek a rewarding career in accountancy, finance and management.

ACCA supports its **219,000** members and **527,000** students (including affiliates) in **179** countries, helping them to develop successful careers in accounting and business, with the skills required by employers. ACCA works through a network of **110** offices and centres and **7,571** Approved Employers worldwide, and **328** approved learning providers who provide high standards of learning and development.

Through its public interest remit, ACCA promotes appropriate regulation of accounting and conducts relevant research to ensure accountancy continues to grow in reputation and influence.

ACCA has introduced major innovations to its flagship qualification to ensure its members and future members continue to be the most valued, up to date and sought-after accountancy professionals globally.

Founded in 1904, ACCA has consistently held unique core values: opportunity, diversity, innovation, integrity and accountability. More information is here: www.accaglobal.com