

14 September 2021

Member Reprimanded*

On 09 September 2021, the Consent Order Chair of the Association of Chartered Certified Accountants (ACCA) found proved the following allegations against a member, Mr Adam Howard Moody from Hertfordshire, United Kingdom.

ALLEGATIONS

Mr Moody admits the following allegations:

Allegation 1

From 26 June 2017 to 21 January 2021, he failed on behalf of his firm to comply with the requirements of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (the regulations), namely:

- 1.1 Regulations 18 (risk assessment and controls)
- 1.2 Regulation 21 (control assessment)

Allegation 2

From 26 June 2020 to 21 January 2021, he failed to comply with Section 32 of ACCA's Code of Ethics and Conduct (Anti-Money Laundering) (as applicable between 2017 and 2021).

Allegation 3

By reason of his conduct in respect of all the matters set out at allegations 1 to 2, Mr Adam Moody is guilty of misconduct pursuant to bye-law 8(a)(i).

ACCA

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The Consent Orders Chair ordered that Mr Moody be Reprimanded and pay costs to ACCA in the sum £690. Further, the Chair ordered that Mr Moody pay a fine in the sum of £3000.

ACCA's regulations require ACCA to publish the Chair's finding and orders by way of a press release, as soon as practicable.

- ends -

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About ACCA: ACCA is the Association of Chartered Certified Accountants. We're a thriving global community of **227,000** members and **544,000** future members based in **176** countries that upholds the highest professional and ethical values.

We believe that accountancy is a cornerstone profession of society that supports both public and private sectors. That's why we're committed to the development of a strong global accountancy profession and the many benefits that this brings to society and individuals.

Since 1904 being a force for public good has been embedded in our purpose. And because we're a not-for-profit organisation, we build a sustainable global profession by re-investing our surplus to deliver member value and develop the profession for the next generation.

Through our world leading ACCA Qualification, we offer everyone everywhere the opportunity to experience a rewarding career in accountancy, finance and management. And using our respected research, we lead the profession by answering today's questions and preparing us for tomorrow. Find out more about us at www.accaglobal.com

