



Think Ahead

News release

29 September 2022

Disciplinary Committee ordered that no further action is required

On 09 September 2022, the Disciplinary Committee of ACCA (the Association of Chartered Certified Accountants) found proved the following allegations against Mr Mark Howard Rogers, of Eastleigh, Hampshire, United Kingdom:

Allegations

Allegation 1

- (a) On 27 March 2019, Mr Rogers sent Client A a copy of minutes of a General Meeting dated 30 June 2018 and a stock transfer form dated 30 June 2018 for him to sign.

Allegation 2

- (a) Between 14 October 2015 and 24 April 2018, Mr Rogers failed to issue and/or retain an engagement letter to Client A.
- (b) Mr Rogers's conduct was:
 - i. Contrary to Section B9(5) of ACCA's Code of Ethics and Conduct (as applicable in 2015 to 2018).
- (c) By reason of his conduct Mr Rogers is:

ACCA

 +44 (0)20 7059 5000

 info@accaglobal.com

 www.accaglobal.com

 The Adelphi 1/11 John Adam Street London WC2N 6AU United Kingdom

ii. Liable to disciplinary action pursuant to bye-law 8(a)(iii) in respect of 2(b) above.

The Disciplinary Committee ordered that no further action be taken against Mr Rogers and to pay costs to ACCA in the sum of £2,500.

Please note that this may be the subject of an appeal.

ACCA's regulations require ACCA to publish the Committee's findings and orders by way of a news release, as soon as practicable.

** An order made by the Disciplinary Committee shall take effect from the date of expiry of the Appeal Period referred to in the Appeal Regulations unless the Committee directs that the order should have immediate effect*

- ends -

For media enquiries, contact:

ACCA Newsroom

E: newsroom@accaglobal.com

M: +44 (0)7725 498654

Twitter @ACCANews

accaglobal.com

Notes to Editors

About ACCA: ACCA is the Association of Chartered Certified Accountants. We're a thriving global community of **233,000** members and **536,000** future members based in **178** countries and regions that upholds the highest professional and ethical values.

We believe that accountancy is a cornerstone profession of society that supports both public and private sectors. That's why we're committed to the development of a strong global accountancy profession and the many benefits that this brings to society and individuals.

Since 1904 being a force for public good has been embedded in [our purpose](#). And because we're a not-for-profit organisation, we build a sustainable global profession by re-investing our surplus to deliver member value and develop the profession for the next generation.

Through our world leading ACCA Qualification, we offer everyone everywhere the opportunity to experience a rewarding career in accountancy, finance and management. And using our respected research, we lead the profession by answering today's questions and preparing us for tomorrow.

ACCA and CA ANZ have formed a [strategic alliance](#) for the benefit of members and to help shape the future of the profession. Find out more about us at accaglobal.com