



Think Ahead

News release

06 July 2023

Member severely reprimanded*

On 30 June 2023, the Consent Orders Chair of the Association of Chartered Certified Accountants (ACCA) found proved the following allegations against a member, Mr Mark John Heyburn, of Walton on Thames, Surrey, United Kingdom:

Allegations

Allegation 1

Mr Mark Heyburn failed on behalf of the Firm to comply with the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (the MLRs) in that:

- 1.1 Between 26 June 2017 and 28 November 2022, he did not conduct a firmwide risk assessment to identify and assess the risks of money laundering and terrorist financing to which the Firm was subject, contrary to Regulation 18 of the MLRs.
- 1.2 Between 26 June 2017 and 02 December 2022, he did not establish and maintain policies, controls, and procedures to mitigate and manage effectively the risks of money laundering and terrorist financing in the Firm, contrary to Regulation 19 of the MLRs.
- 1.3 Between 26 June 2017 and 09 December 2022, he did not provide Anti-Money Laundering training to all the relevant employees, contrary to Regulation 24 of the MLRs.

Allegation 2

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By reason of the conduct set out in Allegation 1, Mr Mark Heyburn failed to comply with

- a) Section B2 of ACCA's Code of Ethics and Conduct (Anti-Money Laundering) (as applicable from 2017 to 2022); and
- b) The Fundamental Principle of Professional Behaviour (as applicable from 2017 to 2022)

Allegation 3

By reason of the conduct set out at Allegations 1 and 2 above, Mr Heyburn is guilty of misconduct pursuant to byelaw 8(1)(i)

The Consent Orders Chair ordered that Mr Heyburn be severely reprimanded and pay costs to ACCA in the sum of £2100.00 and a fine of £5000.00.

ACCA's regulations require ACCA to publish the Committee's finding and orders by way of a press release, as soon as practicable.

- ends -

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Notes to Editors

About ACCA: ACCA is the Association of Chartered Certified Accountants. We're a thriving global community of **233,000** members and **536,000** future members based in **178** countries and regions that upholds the highest professional and ethical values.

We believe that accountancy is a cornerstone profession of society that supports both public and private sectors. That's why we're committed to the development of a strong global accountancy profession and the many benefits that this brings to society and individuals.

Since 1904 being a force for public good has been embedded in [our purpose](#). And because we're a not-for-profit organisation, we build a sustainable global profession by re-investing our surplus to deliver member value and develop the profession for the next generation.

Through our world leading ACCA Qualification, we offer everyone everywhere the opportunity to experience a rewarding career in accountancy, finance and management. And using our respected research, we lead the profession by answering today's questions and preparing us for tomorrow.

ACCA and CA ANZ have formed a [strategic alliance](#) for the benefit of members and to help shape the future of the profession. Find out more about us at accaglobal.com