Agenda.

Meeting: Regulatory Board

Location: Virtual

Date: 2 December 2026, 09.15 – 12.20 (GMT)

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1. MINUTES (Items 1-3 5 mins @ 09.15)

To approve the minutes of the meeting held on 9 September 2026.

2. WELCOME AND APOLOGIES FOR ABSENCE

To welcome new members of the Regulatory Board and note any apologies for absence.

3. CONFLICTS OF INTEREST

To receive information regarding any potential conflicts of interest and/or duty which have been notified to ACCA since the distribution of the meeting papers.

4. CHAIR'S INTRODUCTION (10 mins @ 09.20)

To receive an introduction from the new Chair of the Regulatory Board.

PART A – ITEMS FOR DISCUSSION

5. REGULATORY BOARD TERMS OF REFERENCE, OTHER BOARD ARRANGEMENTS AND WORK PLAN FOR 2026-2027 COUNCIL YEAR (10 mins @ 09.30)

To discuss the Board's Terms of Reference as agreed by Council in November 2026, other Board arrangements and approve the work plan for the 2026-27 year.

6. PRESENTATION FROM THE EXECUTIVE DIRECTOR – STRATEGY AND GOVERNANCE (30 mins @ 09.40)

To receive a presentation from the Executive Director – Strategy and Governance on recent developments, including developments in ACCA and emerging regulatory policies.

BREAK (10 mins)

7. PERFORMANCE DATA (50 mins @ 10.20)

i. Performance information and lead regulator update

To receive:

- the performance data,
- an update on ACCA's interactions with its lead regulators,

and

- the strategic context paper.

ii. Age profile of cases

To note an update on the age profile of Conduct cases.

iii. Review of Conduct department

To receive an update of the review of the Conduct department.

The Head of AML and Operations (Wes Walsh), the Head of Assessment and Investigations (Ayo Sosanya), the Head of Compliance and Authorisation (Helen Simons), Head of Adjudication (Adrianna McDonnell) and the Interim Head of Adjudication (Revelyn Fairbrother) will join the meeting for this item.

8. DIGITAL TRANSFORMATION (10 mins @ 11.10)

To note an update on Digital Transformation.

9. PRACTISING CERTIFICATE EXPERIENCE RECORDING FORMS (20 mins @ 11.20)

To endorse proposed changes to ACCA's practising certificate recording forms approach.

The Head of Compliance and Authorisation (Helen Simons) will join the meeting for this item.

10. REGULATORY RISKS (15 mins @ 11.40)

To consider an update on the regulatory risks recorded within the enterprise risk register and regulatory risk management activities.

11. REPORTS FROM THE APPOINTMENTS, QUALIFICATIONS AND STANDARDS BOARDS (10 mins @ 11.55)

i. Report from Chairs

To receive the reports from the Chairs of the Appointments, Qualifications and Standards Boards on any highlights or areas of concern.

ii. Annual Reports from Chairs

To receive the annual reports of the Appointments, Qualifications and Standards Boards.

iii. Regulation Changes for the ACCA Rulebook

To approve proposed regulation changes for the ACCA Rulebook, recommended by the Standards Board.

12. REVIEW THE RESPONSIBILITIES OF THE BOARD AND SUB-BOARDS (10 mins @ 12.05)

To review the responsibilities of the Board and sub-Boards.

ITEMS FOR NOTE

13. DATE OF NEXT MEETING (5 mins @ 12.15)

To note that the remaining dates of Regulatory Board meeting in the 2026-27 Council year are as follows:

11 February 2027 (in-person)
19 May 2027
15 September 2027.