Agenda.

ACCA

Meeting: Regulatory Board

Location: The Clermont Charing Cross, The Strand, London, WC2N 5HX

Date: 9 September 2026, 13.30 – 16.20 (BST)

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1. MINUTES (Items 1-3 5 mins @ 13.30)

To approve the minutes of the meeting held on 14 May 2026.

2. APOLOGIES FOR ABSENCE

To note any apologies for absence.

3. CONFLICTS OF INTEREST

To receive information regarding any potential conflicts of interest and/or duty which have been notified to ACCA since the distribution of the meeting papers.

4. CHAIR'S UPDATE (10 mins @ 13.35)

To receive an oral update from the Chair on developments since the last meeting.

PART A – ITEMS FOR DISCUSSION

5. WORK PLAN FOR 2025-2026 COUNCIL YEAR (5 mins @ 13.45)

To discuss the work plan for the 2025-26 year.

6. PRESENTATION FROM THE EXECUTIVE DIRECTOR – STRATEGY AND GOVERNANCE (25 mins @ 13.50)

To receive a presentation from the Executive Director – Strategy and Governance on recent developments, including developments in ACCA and emerging regulatory policies.

7. DIGITAL TRANSFORMATION (15 mins @ 14.15)

To receive an update on Digital Transformation (DT).

BREAK (10 MINS)

8. REGULATORY BOARD AND SUB-BOARD EFFECTIVENESS REVIEW (20 mins @ 14.40)

To agree the process and questionnaire for the effectiveness review of the Board and sub-Boards.

9. PERFORMANCE DATA (4 5mins @ 15.00)

Performance information

To receive the performance data and strategic context papers, which also include recent interactions with ACCA's lead regulators.

Financial Reporting Council (FRC)

To note the action plans for the RQB/RSB supervision relating to:

- Compliance – Risk-based monitoring framework

  and

- Conduct – Risk assessment of all audit cases in breach of six-month KPI

Update on Licensing activities

To note an update on Licensing activities, including the Irish Auditing and Accounting Supervisory Authority (IAASA) Licensing review actions.

Update on AML activities

To note an update on AML activities, including the draft findings of the Office for Professional Body Anti-Money Laundering Supervision (OPBAS) Supervisory Assessment 2026.

Age profile of Conduct cases

To note an update on the age profile of Conduct cases. (set out in Diligent tab 9.3)

Review of Conduct department

To receive an update of the review of the Conduct department and the next steps. (set out in Diligent tab 9.4)

The Head of AML and Operations (Wes Walsh), the Head of Assessment and Investigations (Ayo Sosanya) and the Interim Head of Adjudication (Revelyn Fairbrother) will join the meeting for this item.

10. REPORTS FROM THE APPOINTMENTS, QUALIFICATIONS AND STANDARDS BOARDS (10 mins @ 15.45)

i. Reports from Chairs

To receive the reports from the Chairs of the Appointments and Qualifications Boards on any highlights or areas of concern.

ii. Regulation Changes for the ACCA Rulebook

To approve proposed regulation changes for the ACCA Rulebook, recommended by the Standards Board. The Standards Board meeting is due to take place on 8 September 2026. As this is only the day before the Regulatory Board meeting, the papers for this item have been shared as part of the pre-reading pack. However, the Board is asked to note that any changes to the proposed regulation changes following the Standards Board's review will be shared with the Board prior to the Regulatory Board meeting.

11. APPOINTMENT OF CHAIRS TO THE APPOINTMENTS, QUALIFICATIONS AND STANDARDS BOARD (5 mins @15.55)

To approve the appointment of Chairs to the Appointments, Qualifications and Standards Boards.

12. REGULATORY RISKS (15 mins @ 16.00)

To consider an update on the regulatory risks recorded within the enterprise risk register and regulatory risk management activities.

<u>ITEMS FOR NOTE</u>

13. DATE OF NEXT MEETING (5 mins @ 16.15)

To note that the dates of Regulatory Board meetings in the 2026-27 Council year:

- 2 December 2026 *(virtual)*
- 11 February 2027 *(planned to be in person)*
- 19 May 2027 *(virtual)*
- 15 September 2027 *(virtual)*.